

J0021331-01-10 29 AUG 2005 *Pct*

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	10/525,301	
	Intl Filing Date	August 12, 2003	
	First Named Inventor	Jackson, David et al.	
	Group Art Unit Number	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	8	Attorney Docket Number	23681-09966US (121790)

ENCLOSURES <i>(check all that apply)</i>	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts (2 pages)	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input checked="" type="checkbox"/> Notification of Missing Parts Requirements under 35 U.S.C. 371 In the United States Designated /Elected Office (DO/EO/US) (2 pages)	<input type="checkbox"/> Appeal Communication to Board of Appeals and
<input checked="" type="checkbox"/> Declaration (2 pages)	Interferences
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Statement(s) To Support Filing And Submission Of Sequence Listing (1 page)
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input checked="" type="checkbox"/> Diskette <i>(CRF copy of Sequence Listing)</i>
<input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:	<i>S. Hubl</i>		
Attorney/Reg. No.:	Susan T. Hubl, Patent Agent	Dated:	August 25, 2005

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<i>S. Hubl</i>		
Typed or Printed Name:	Susan T. Hubl, Patent Agent	Dated:	August 25, 2005
Express Mail Mailing Number (optional):			

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):	Jackson, David et al
APPLICATION NO:	10/525,301
INTL FILING DATE:	08/12/2003
TITLE:	Novel Immunogenic Lipopeptides Comprising T-Helper And B-Cell Epitopes
EXAMINER:	Unassigned
GROUP ART UNIT:	Unassigned
ATTY. DKT. NO.:	23681-09966 US
<p align="center"><b>CERTIFICATE OF MAILING/TRANSMISSION</b></p> <p>I hereby certify that this correspondence is being</p> <p><input checked="" type="checkbox"/> deposited on the date shown below with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p><input type="checkbox"/> transmitted on the date shown below via facsimile to the attention of: Examiner **** at facsimile number 571-273-8300.</p> <p>Dated: <u>8/25/05</u> By: <u><i>S. Hubl</i></u>  <span style="float: right;">Susan T. Hubl</span></p>	

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**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371**

SIR:

Responsive to the Notification of Missing Requirements dated July 29, 2005 received in the above-identified patent application, enclosed are:

- ☒ Copy of the Notification of Missing Requirements;
- ☒ signed Declaration;
- ☒ CRF copy of Sequence Listing (diskette)
- ☒ Statement to Support Submission of Sequence Listing

No fees are due as the surcharge for late filing of a declaration was paid at the time of filing this 371 national phase application.

The Notice of Missing Requirements stated that the application search fee is insufficient and Applicant must submit \$100 to complete the search fee. Applicant believes this an error, as

a Search fee of \$400 was paid at the time of filing; this is the correct Search fee for a 371 national phase application where an ISR was submitted to the USPTO. If the USPTO feels that additional fees are required, please contact Applicant's representative at (415) 875-2316.

Respectfully submitted,  
DAVID JACKSON ET AL

Dated: 8/25/05

By: S. Hubl  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/525,301	David Jackson	23681-09966

INTERNATIONAL APPLICATION NO.

PCT/AU03/01018

I.A. FILING DATE

PRIORITY DATE

08/12/2003

08/12/2002

Susan T Hubl  
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 Mountain View, CA 94041

**Fenwick & West**

AUG 08 2005

**Received**

CONFIRMATION NO. 6010

371 FORMALITIES LETTER



\*OC000000016649447\*

Date Mailed: 07/29/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/14/2005
- Copy of the International Search Report filed on 02/14/2005
- Copy of IPE Report filed on 02/14/2005
- Preliminary Amendments filed on 02/14/2005
- Biochemical Sequence Listing filed on 02/14/2005
- Request for Immediate Examination filed on 02/14/2005
- Copy of references cited in ISR filed on 02/14/2005
- U.S. Basic National Fees filed on 02/14/2005
- Priority Documents filed on 02/14/2005
- Specification filed on 02/14/2005
- Claims filed on 02/14/2005
- Abstracts filed on 02/14/2005
- Drawings filed on 02/14/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$100 for a Large Entity:

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- The application search fee is insufficient. Applicant must submit \$100 to complete the search fee.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/525,301	PCT/AU03/01018	23681-09966